

Attorney Docket No.: UCSD1140-1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert K. Naviaux

Art Unit:

1614

Application No.:

09/889,251

Examiner:

P. Spivack

Filed:

November 1, 2001

Title:

METHODS OF TREATMENT OF MITOCHONDRIAL DISORDERS

Commissioner for Patents P. O. Box 1450 Arlington, VA 22313-1450

### TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application please find:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (1 pages);
- 3. International Search Report (4 pages)
- 4. Three (3) References;
- 5. Return Receipt Postcard.

#### **CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, March 17, 2004, in an envelope addressed to:

Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Cara Grifone

(Name of Person Mailing Haper)

March 17, 2004

(Date)

GT\6391152.1 101668-17 In the Application of Robert K. Naviaux

Application Serial No.: 09/889,251

Filed: November 1, 2001

PATENT Attorney Docket No.: UCSD1140-1

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated February 23, 2004, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: March 17, 2004

Lisa A/Haile, J.D., Ph.D. Registration No.: 38,347 Telephone: (858) 677-1456 Facsimile: (858) 677-1465

**USPTO CUSTOMER NUMBER 28213** 

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133



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### **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith. A copy of the International Search Report listing the references cited from a communication from a foreign patent office also is enclosed.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UN	DER 37 CFR §1.8
I hereby certify that the documents reference being deposited with the United States I on this date, March 17, 2004, in an envergence of the commissioner for Patents, P. O. Box 14, 1450.	Postal Service as first class mail clope addressed to:
Cara Grifone (Name of Person Mailing Paper)	

In the Application of Robert K. Naviaux

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Page 2

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**PATENT** 

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FORM PTO-1449	DE 100	Docket No.:	Serial No.:
U.S. Department of Commerce Pat	ent and	UCSD1140-1	09/889,251
Trademark Office	2 2004 E		
	MAR	Applicant(s): Robert K. Naviau	x
INFORMATION DISCLOSURE	STATEMENT	Filing Date:	Group Art Unit:
BY APPLICANT	EM a	November 1, 2001	1614

## **U.S. PATENT DOCUMENTS**

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AH	5,470,838	11/28/95	von Borstel et al.	514	50	
	AI	5,583,117	12/10/06	von Borstel et al.	514	50	

### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATIO N (YES/NO)
	AJ	WO 89/03837	05/05/89	PCT			
	AK						
	AL						

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)**

AM	
AN	
AO	
AP	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.